

1 JOHN W. COTTON (SBN 54912)  
Email: JCotton@gghslaw.com  
2 GARTENBERG GELFAND & HAYTON LLP  
15260 Ventura Blvd., Suite 1920  
3 Sherman Oaks, CA 91403  
4 (213) 542-2100  
(818) 292-0898

5 Counsel to the Receiver  
Sherwood Partners Inc.

7 **UNITED STATES DISTRICT COURT**  
8 **NORTHERN DISTRICT OF CALIFORNIA**

10 SECURITIES AND EXCHANGE  
COMMISSION,

11 Plaintiff,

12 v.

13 JOHN B. BIVONA; SADDLE RIVER  
14 ADVISORS, LLC; SRA  
MANAGEMENT ASSOCIATES, LLC;  
15 FRANK GREGORY MAZZOLA

16 Defendants.

17 SRA I LLC; SRA II LLC, SRA III  
18 LLC, FELIX INVESTMENTS, LLC;  
MICHELE J. MAZZOLA; ANNE  
19 BIVONA; CLEAR SAILING GFOUP  
IV LLC; CLEAR SAILING GROUP V  
20 LLC,

21 Relief Defendants.

Case No. 3:16-cv-1386

**CERTIFICATE OF SERVICE**

**STATE OF CALIFORNIA, COUNTY OF LOS ANGELES**

I am employed in the County of Los Angeles, State of California. I am over the age of eighteen (18) and not a party to the within action. My business address is 15260 Ventura Blvd., Suite 1920, Sherman Oaks, California 91403.

On September 27, 2017 I served the following document(s) described as

**- NOTICE OF LODGING OF RECEIVER'S RESPONSE TO COURT'S ORDER OF SEPTEMBER 22, 2017, REQUESTING THE SUBMISSION OF ADDITIONAL INFORMATION IN SUPPORT OF JOINT MOTION FOR APPROVAL OF THE PROPOSED DISTRIBUTION PLAN**

on the interested parties in this action:

(X) by serving ( ) the original (X) true copies thereof as follows:

Frank Gregory Mazzola 27 Dogwood Hill Drive Upper Saddle River, NJ 07458	Michele J. Mazzola 27 Dogwood Hill Drive Upper Saddle River, NJ 07458
Marc David Katz Securities and Exchange Commission 44 Montgomery Street Suite 2800 San Francisco, CA 94104	

<b>(XX) BY MAIL</b> I caused such envelope to be deposited in the mail at Los Angeles, California. The envelope was mailed with postage thereon fully prepaid. I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. It is deposited with U.S. postal service on that same day in the ordinary course of business. I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date is more than one (1) day after date of deposit for mailing in affidavit.	<b>( ) BY FACSIMILE TRANSMISSION</b> I caused said document(s) to be transmitted by facsimile transmission to the name(s) and facsimile telephone number(s) of the person(s) named on the attached service list. The facsimile machine telephone number of the sending facsimile machine was (213) 542-2101. A transmission report was issued by the sending facsimile machine confirming that the transmission was completed without error. A true and correct copy of said transmission report is attached hereto.
---	---

(X) FEDERAL I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

(X) EXECUTED on September 27, 2017 at Sherman Oaks, California.

  
\_\_\_\_\_  
Nicole Salazar